

Physical methods of microalgal biomass pretreatment**

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A b s t r a c t. The prospect of depletion of natural energy resources on the Earth forces researchers to seek and explore new and alternative energy sources. Biomass is a composite resource that can be used in many ways leading to diversity of products. Therefore, microalgal biomass offers great potential. The main aim of this study is to find the best physical method of microalgal biomass pretreatment that guarantees efficient lipid extraction. These studies identifies biochemical composition of microalgal biomass as source for biodiesel production. The influence of drying at different temperatures and lyophilization was investigated. In addition, wet and untreated biomass was examined. Cell disruption (sonication and microwave) techniques were used to improve lipid extraction from wet biomass. Additionally, two different extraction methods were carried out to select the best method of crude oil extraction. The results of this study show that wet biomass after sonication is the most suitable for extraction. The fatty acid composition of microalgal biomass includes linoleic acid (C18:2), palmitic acid (C16:0), oleic acid (C18:1), linolenic acid (C18:3), and stearic acid (C18:0), which play a key role in biodiesel production.

K e y w o r d s: biomass, sonication, microwave, lipid content, microalgae

INTRODUCTION

Population growth and civilization development is inextricably linked to the rate of exploration of fossil fuels. Furthermore, world demand for energy is still increasing. Consumption and use of energy causes emission of significant amounts of CO₂ and climate changes. However, at the same time there is a clear move away from oil and coal towards renewable energy sources (IEA, 2012).

Biomass is a renewable energy source regarded as a source with the greatest potential to satisfy all the energy needs. The most important biomass sources are wood and

wood remains, energy crops, agricultural crops and residues, food waste, animal waste, municipal solid waste, aquatic plants and among them macroalgae and microalgae (Demirbas *et al.*, 2009).

Microalgal biomass has been recognized as a feedstock and a good source for lipid-based biofuel production. In addition, it is one of the few real opportunities to meet energy needs (Ahmad *et al.*, 2011).

Microalgae are a group of microorganisms with a relatively simple structure. These are mostly phototrophic organisms occurring in very different habitats. Microalgae are a very diverse group of organisms and their heterogeneity is conditioned by a number of characteristics, including the place of occurrence, the level of organization of the cell, the type of pigments, size, or metabolic pathways. Microalgae have the ability to synthesize and accumulate triacylglycerols under stress conditions. Triacylglycerols (TAGs) are present in the cell in the form of storage lipids (Barsanti and Gualtieri, 2006; Qiang *et al.*, 2008). In particular, triacylglycerols can be utilized as a source for biodiesel production (Fu *et al.*, 2010).

Knowledge about the physical properties and structure of lipids can facilitate separation of lipids compounds from biological materials. Lipids are a heterogeneous group of biological substances mainly consisting of non-polar (neutral) and polar molecules. The polarity of a molecule is determined by the presence of fatty acids. The charge of neutral lipids mainly in the form of triacylglycerols determines their structure, namely they are composed of a fatty acid molecule whose carboxylate end is bonded with uncharged glycerol (Kates, 1986a). However, triacylglycerols are regarded as less polar neutral lipids because they can be covalently bound to carbohydrates and proteins (Shahidi and Wanasundara, 2002).

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Insolubility in water is a main property that allows isolation of lipids. Furthermore, solubility of lipids in organic solvents facilitates separation of lipids but the use of one universal solvent for lipid extraction is not possible. The nature of the sample and the type of lipids in the material determines the extraction method and solvent used (Shahidi and Wanasundara, 2002). Using the principles of 'like dissolves like' predominantly, non-polar organic solvents such as chloroform and hexane are used. Additionally, polar solvents (such as methanol) improve extraction of some complex-forming neutral lipids; thus, a mixture of non-polar/polar organic solvents is appropriate for lipid extraction from microalgal biomass and this practice is very common (Halim *et al.*, 2012a; Kates, 1986b; Robles Medina *et al.*, 1998).

A large number of lipid extraction methods used for microalgal biomass have been described. The most important fact is that microalgae are single cell microorganisms having an individual cell wall (Ryckebosch *et al.*, 2012). Furthermore, microalgae often have unusual levels of lipids or their substitutes with a unique structure and valuable properties, but functionally they are similar to lipids found in other plants (Goschina and Harwood, 2006; Guy and Thompson, 1996). Therefore, the choice of a single universal method of extraction for all species is difficult.

The method of Bligh and Dyer (1959) with chloroform – methanol in the ratio of 1:2 is often used for extraction of total lipids from microalgae. Nevertheless, Iverson *et al.* (2001) reported that unmodified Bligh and Dyer method was not appropriate for microalgal biomass. They mentioned that all modifications to the procedures and solvent/sample ratios should be described because evaluation and comparison of results is easier. Hexane is also one of the most desirable hydrocarbon solvents designed for triacylglycerol extraction (Christie, 1982).

Pretreatment of biomass and sample preparation is an important step. In a standard 2-step laboratory scale pretreatment pathway, the concentrate is dried and then milled into powder (Halim *et al.*, 2012a). However, there is a hypothesis that drying biomass is not a necessary kind of pretreatment. Many valuable compounds have been extracted from wet biomass of microorganisms (fungi and bacteria) (Kates, 1986b). Studies on wet lipid extraction have been very scarce. Drying biomass especially at elevated temperatures is undesirable due to generation of bonds between lipids and other compounds hindering solvent extraction (Pomeranz and Meloan, 1994). Furthermore, drying and de-watering microalgal biomass are processes which result in incredible energy consumption and have a limiting effect on commercial scale production of biofuel from microalgal biomass (Sathish and Sims, 2012; Tanzi *et al.*, 2013). For the efficiency of the extraction process, it is very important that the cell structure has been completely disintegrated. Lipid yields increase with the degree of cell disruption, as lipids are discharged from the cellular structures outside the cell.

Mechanical, chemical, and enzymatic methods are most widely used for cell disintegration in microorganism biomass. Ultrasonication and microwave are distinguished among them. It is important that most methods used to disrupt cells demand a small amount of water in algal biomass for successful cell disintegration (Halim *et al.*, 2012a).

In this investigation, algal oil extraction from *Chlorella protothecoides* was studied. This microalga has a high content of total lipids (50.3-57.8%) under heterotrophic conditions and the properties of biodiesel from *Chlorella* comply with the US standard for biodiesel. Furthermore, these properties are comparable to those of conventional diesel (Xiong *et al.*, 2008).

The aim of this study was to find an extraction technique that guarantees efficient algal oil extraction. The effect of biomass pretreatment, the cell disruption methods, and the type of solvents on algal lipid yield were studied. Moreover, the effect of these parameters on the fatty acid methyl esters (FAME) content was investigated because FAMEs play a key role in biodiesel production.

MATERIALS AND METHODS

The axenic culture of *Chlorella protothecoides* (No. 211-7a) was obtained from The SAG Culture Collection of Algae in Göttingen, Germany. Polytoma medium was used for *Chlorella protothecoides* culturing. The composition of the Polytoma medium is shown in Table 1. The microalgal strain was inoculated from a 2% agar plate into 50 ml Erlenmeyer flasks with Polytoma medium and precultured. When the cultures reached the exponential growth phase, they were transferred to 500 ml Erlenmeyer flasks with fresh Polytoma medium. The cultures were grown at 26°C with shaking without aeration to keep them sterile. The *Chlorella protothecoides* cultures were illuminated at a 16 h light/ 8 h dark cycle with light intensity of 100 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$.

The photoheterotrophic culture in 500 ml Erlenmeyer flasks was used to inoculate photobioreactors. First, a calibration curve, which showed the relationship between the optical density and the biomass concentration, was prepared. A series of tenfold dilution of the cell suspension was made and the optical density (OD_{650}) was measured. The biomass concentration was estimated by dry mass determination. The cell suspension (1 ml) was filtered through

Table 1. Composition of Polytoma medium

Composition	Stock solution g 100 ml ⁻¹	Nutrient solution ml
Yeast extract	10	10
Bacto-tryptone	10	10
Soil extract	-	30
De-ionized water	-	950

a Whatman glass-fiber filter, dried at 90°C for 24 h (Venticell VC 111 laboratory dryer), and weighed. The OD_{650} values were converted to dry mass (DM) values:

$$DM = 267.94 OD_{650} + 0.145 \quad (R^2 = 0.999). \quad (1)$$

The average initial biomass concentration 0.03 g l^{-1} was used to inoculate 3-l photobioreactors (BIOSTAT PBR 2S, Sartorius Stedim Biotech). A large volume of microalgal biomass was obtained in the large-scale culture system. *Chlorella protothecoides* was grown in four photobioreactors on Polytoma medium at 26°C under illumination (16 h light/ 8 h dark cycle, $100 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$). The cultures of microalgae were harvested by centrifugation at $14\,025 \times g$ for 15 min (Rotanta 460 RS centrifuge) after 12 days of cultivation. The cell biomass was washed twice with de-ionized water after centrifugation to remove medium residues.

Growth in the four photobioreactors was monitored daily by measuring optical density at 650 nm. Growth parameters (specific growth rate, biomass doubling time, and biomass productivity) were determined based on the optical density measurement. Biomass productivity per day was expressed in $DM \text{ g l}^{-1} \text{ day}^{-1}$. The specific growth rate (μ) and biomass doubling time (T_d) were calculated using the following formulas (Krzemińska *et al.*, 2014; Yadavalli *et al.*, 2010):

$$\mu = \ln(N_2/N_1)/(T_2 - T_1), \quad (2)$$

where: μ is specific growth rate (day^{-1}), N_1 is the initial and N_2 is the final optical density measured at 650 nm, T_1 is the initial and T_2 is the final time of cultivation.

$$T_d = (\ln 2/\mu) 24, \quad (3)$$

where: T_d is biomass doubling time (h).

The influence of two most popular types of pretreatment was investigated. In addition, rarely used wet biomass was analysed. Microalgal biomass was lyophilized (Labconco FreeZone 2.5, freeze dryer) and dried at 40, 60, and 90°C in a laboratory dryer to constant mass and until the moisture content was reduced to 0% (moisture analyzer HG63). After drying and lyophilisation, the dry biomass was pulverized in a mortar to reduce the particle size. The microalgal biomass was subjected to two methods of lipid extraction, *ie.* a modified Bligh and Dyer method (1959) with chloroform: methanol and the method with n-hexane: methanol.

The modified Bligh and Dyer procedure consisted of the following steps:

- 1.25 ml of chloroform and 2.5 ml of methanol (1:2 v/v) were added to each 100 mg of the dried, powdered biomass;
- the samples were shaken on a shaker for 15-20 min;
- 1.25 ml of chloroform was added to the samples and they were shaken on a vortex, which was followed by addition of 1.25 ml of water, and the samples were shaken again;

- the samples were centrifuged for 10 min at $600 \times g$ to obtain two layers;
- the lower layer of each sample was transferred to round-bottomed flasks;
- the solvents were removed with a vacuum evaporator.

The n-hexane and methanol procedure consisted of the following steps:

- 3.75 ml of hexane and 2.5 ml of methanol (3:2 v/v) were added to each 100 mg of the dried, powdered biomass;
- the samples were shaken on a shaker for 15-20 min;
- 1.25 ml hexane was added to the samples and they were shaken on a vortex; next, 1.25 ml of water was added and the samples were shaken again;
- the samples were centrifuged for 10 min at $600 \times g$ to obtain two layers;
- the higher layer of each sample was transferred to round-bottomed flasks;
- the solvents were removed with a vacuum evaporator.

Wet biomass (equal to 100 mg of dry biomass) was also used in the two lipid extraction methods using the same procedures.

All extractions were performed in triplicate. Total lipid yield was measured gravimetrically.

The following cell disruption methods, applied before solvent extractions, were tested to achieve more efficient lipid extraction. Sonication was performed using a Sonics vibra cell 500 sonicator. The samples were treated by ultrasonic waves for 90 s (power 500 W, frequency – 20 kHz and amplitude – 50%). The samples were placed in an ice bath to protect against overheating. Microwave pretreatment was performed in the microwave oven for the same period of time (power 800 W, frequency – 2 450 MHz).

After extraction, crude oil was collected. 1 ml of crude oil suspended in hexane was transferred to glass ampoules, mixed with 6 ml of 0.5 M KOH in methanol, and hydrolyzed at 80°C for 1 h. Next, 6 ml of 10% BF_3 in methanol was added and shaken on a vortex. Esterification was performed at 100°C for 20 min. After cooling at room temperature, 1 ml of HPLC grade hexane and 10 ml of saturated NaCl solution was added and vortexed again. The FAMES formed during esterification were accumulated in the hexane layer.

The samples were analyzed on a Trace GC Ultra with ITQ 1100 using a 105 m Rtx-2330 column with I.D. 0.25 mm and 0.25 μm film thickness. Helium was used as a carrier gas with 1 ml min^{-1} constant flow compensation, the split ratio was 20:1, injection temperature of 250°C, and the temperature range from 60 to 250°C at 5°C min^{-1} . Calculations were based on previous analysis of the standard mixture.

The statistical analyses were carried out using STATISTICA 10 (StatSoft Inc., USA). Two-factor (solvents and pretreatment) analysis of variance (ANOVA) was used to test the effect of the type of extraction and the different modes of biomass preparation on the total lipid

content and fatty acid methyl esters (C16:0, C18:0, C18:1, C18:2, C18:3) content, p-values below 0.05 were considered significant. Each measurement has at least three replicates; data are expressed as means \pm SD.

RESULTS AND DISCUSSION

Recent publications have reported that photoheterotrophic growth conditions resulted in the highest biomass productivity. According to Isleten-Hosoglu *et al.* (2013), green microalgae *Ettlia texensis* achieved a three-fold greater biomass yield in photoheterotrophic culture than in an autotrophic one. Furthermore, one of the main components enhancing biomass productivity is yeast extract. Therefore, in this study *Chlorella protothecoides* was grown photoheterotrophically on Polytoma medium. Culturing under these conditions ensured the highest biomass productivity. Based on earlier studies, optimal growth conditions for the *Chlorella protothecoides* species were selected. The growth parameters are shown in Table 2. The dynamics of the specific growth rate during the culture is shown in Fig. 1. The correlation between optical density (OD_{650}) and dry mass was used to make a growth curve (Fig. 2).

In this study, the influence of the extraction method and the effect of drying temperature and biomass preparation on the lipid content were examined. The results are shown in Table 3. The extracted total lipid yield was highly dependent on the solvent. The type of solvent used in lipid extraction had a significant effect on the lipid yield ($p < 0.05$). Lipids from dried, lyophilized, and non-pretreated microalgal biomass were extracted using two solvent combinations. The highest lipid extraction yield was at chloroform: methanol (1:2 v/v). These results might be due to the more polar nature of the mixture consisting of chloroform and methanol, compared with hexane and methanol. The hexane: methanol (3:2 v/v) method yielded a smaller amount of crude oil. Widjaja *et al.* (2009) reported that hexane used as a solvent, compared with the chloroform:methanol mixture resulted in poor yield.

Triacylglycerols are deposited in lipid bodies located in the cytoplasm of algal cells (Qiang *et al.*, 2008). During lipid extraction, non-polar solvents interact with neutral lipids in the cytoplasm through van der Waals forces. Then, the organic solvent-lipid complexes diffuse out of the cell. The situation is slightly different when neutral lipids form a complex with polar lipids. These complexes are strongly linked to proteins by hydrogen bonds. These connections only can be disrupted with polar solvents. The formation of complexes enforces the use of a mixture of non-polar/polar solvents (Halim *et al.*, 2012a). TAGs classified as less polar neutral lipids should be extracted into less polar solvents such as chloroform because they may be extracted incompletely with non-polar solvents due to non-availability of an essential part of triacylglycerols to the non-polar solvents. However, extraction with the use of less polar solvents (chloroform)

Table 2. Summary of *Chlorella protothecoides* growth parameters under photoheterotrophic conditions

Growth parameter	Value
Specific growth rate (0-4 days) (day^{-1})	0.838 ± 0.0300
Specific growth rate per hour (h^{-1})	0.009 ± 0.0003
Biomass doubling time (h)	19.860 ± 0.7000
Biomass productivity ($\text{g l}^{-1} \text{day}^{-1}$)	0.079 ± 0.0070

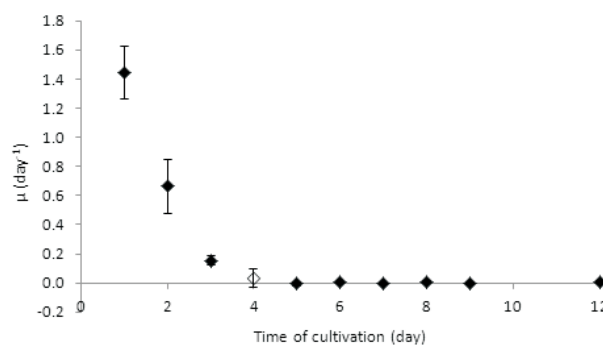


Fig. 1. Dynamics of the specific growth rate during the cultivation. Empty dot means the end of the exponential growth phase (fourth day of cultivation).

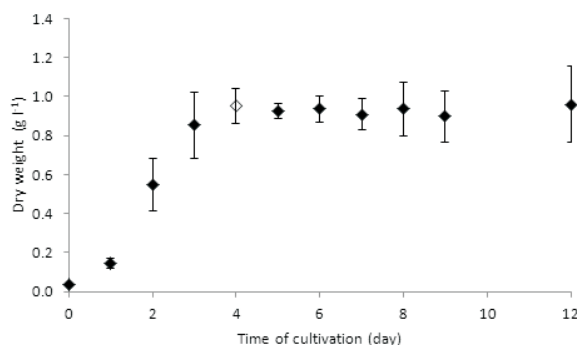


Fig. 2. Growth curve. During the cultivation, two phases (0-4 and 4-12 days) were observed. Empty dot means the end of the exponential growth phase (fourth day of cultivation).

results in the presence of such impurities as vitamins or dyes in the extract. In turn, hexane has higher selectivity towards the neutral lipid fraction and has low compatibility towards contamination (Shahidi and Wanasundara, 2002).

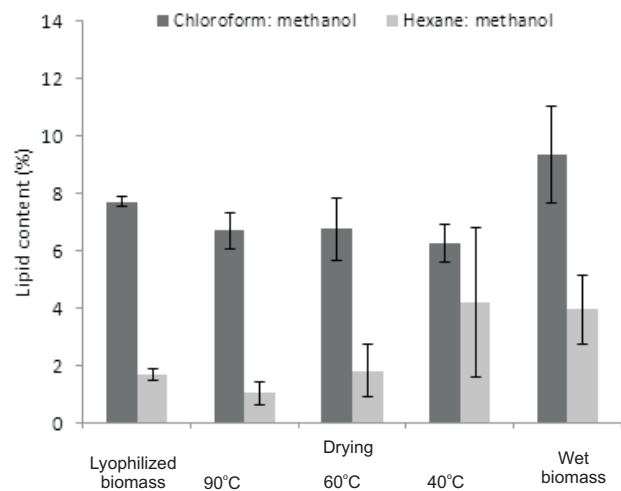
The highest crude oil content was observed in wet, thermally non-pretreated biomass during the chloroform: methanol extraction. In extraction of wet biomass, chloroform: methanol allowed more efficient extraction than non-polar hexane.

Table 3. Comparison of the lipid content under different methods of pretreatment of *Chlorella protothecoides* biomass

Type of extraction	Lipid content (%w/w)				
	Lyophilized biomass	Drying temperature (°C)			Wet biomass
		40	60	90	
Hexane: methanol	1.69±0.19	4.18±2.59	1.82±0.90	1.06±0.40	3.94±1.19
Chloroform: methanol	7.71±0.15	6.25±0.64	6.74±1.08	6.70±0.64	9.34±1.66

This emphasises the fact that the unmodified Bligh and Dyer method was designed for wet samples (Bligh and Dyer, 1959). According to Chun-Zhao *et al.* (2013), high amounts of water in samples blocked non-polar hexane penetration and resulted in low algal oil recovery. It was observed in the extraction using a mixture of the chloroform: methanol solvent that the lyophilized sample allowed efficient lipid extraction from microalgal biomass. This pretreatment method increased the availability of the sample. This method is also considered as a method for cell disintegration. Kachel-Jakubowska and Szpryngiel (2008) reported in their investigation, that drying is a very important step in post-harvesting, as it has a key role in changing the chemical composition of rapeseed. It is significant to define the correct drying temperature and eliminate its negative impact on the compositional quality of biomass (Houghton *et al.*, 2009). The drying temperature of 40°C before lipid extraction with the hexane: methanol mixture increased the total lipid content relative to 60 and 90°C. These results show a rapid decrease in the content of lipids with increasing drying temperature. As could be seen, crude oil was degraded. When lipids were exposed to higher temperature, oxidation occurred. According to Frankel (1993, 1998), oxidation is one of the most important reactions in lipid chemistry and this process depends on storage temperature and proceeds slowly at room temperature. However, temperature is one of the physical parameters that affect the rate of reaction. Therefore, the drying temperature had a significant impact on the biomass composition and lipid content ($p < 0.05$). In the chloroform: methanol extraction, no effect of the drying temperature on the crude oil content was observed. The dependency of the lipid content on the organic solvent and pretreatment is shown in Fig. 3.

Given its highest amount of total lipids, wet algal biomass was sonicated and treated by microwaves. Microwaves and sonication used as disruption techniques were efficient and yielded a higher amount of lipids compared to that obtained from the wet non-pretreated microalgal biomass. The results are shown in Table 4. The influence of cell disintegration on lipid yield was significant ($p < 0.05$). Sonication uses cavitation waves induced by ultrasounds in the solution. This is related to the fact that the continuity of microalgal cell walls is disrupted. Microwaves induce an electromagnetic effect, which affects cell wall thickness and

**Fig. 3.** Effect of the various mixtures of organic solvent and the type of pretreatment on the lipid content of *Chlorella protothecoides*.**Table 4.** Comparison of the lipid content in wet *Chlorella protothecoides* biomass with and without cell disruption methods

Type of extraction	Lipid content (%w/w)		
	Wet biomass	Wet biomass/microwave	Wet biomass/sonication
Hexane: methanol	3.94±1.19	17.92±3.79	41.43±12.37
Chloroform: methanol	9.34±1.66	21.39±11.52	42.00±2.97

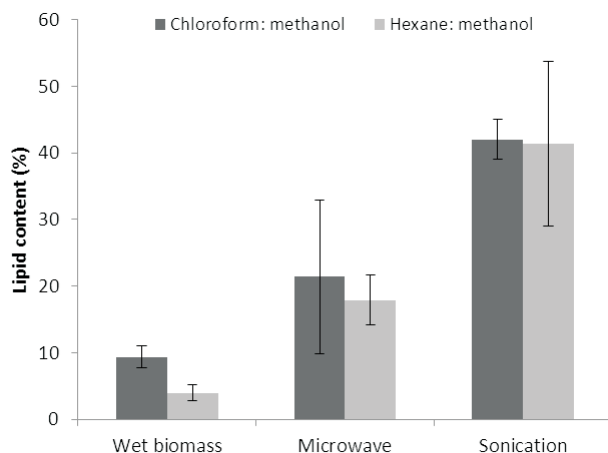


Fig. 4. Recovery rate of lipids from wet biomass and pretreated wet biomass. SD is given in bars.

destroys microalgal cells. Compared to the untreated samples in the chloroform:methanol extraction method, the lipid yield was increased in the sonication and microwaves approach by 32.67 and 12.05%, respectively. In the hexane:methanol extraction, the lipid yield increased by about 37.48% for sonication and 13.97% for microwave. Both these cell disruption methods show considerable improvement in lipid extraction. This dependency is illustrated in Fig. 4. No decreasing amounts were detected. A similar result was reported by Prabakaran *et al.* (2011). They compared the effect of the cell disruption method on lipid extraction for three microalgal species (*Chlorella sp.*, *Nostoc sp.* and *Tolypothrix sp.*) and observed the highest lipid content in the sonication method. Their study suggested that the sonication method was the most suitable for large-scale lipid extraction from microalgae. Furthermore, the microwave method was also efficient among the techniques that they used. It could be concluded from this result that the cell wall should be destroyed for efficient oil extraction. Also, Suganya and Renganathan (2012) reported that ultrasonic pretreatment improved lipid extraction more than microwave pretreatment. During extraction with cell disruption techniques, the choice of the solvent was not relevant. The influence of the solvent on lipid yield was not significant ($p > 0.05$). However, Halim *et al.* (2012b) suggested that the level of cell disruption during sonication could be species dependent. Both extraction methods were slightly more efficient after applying cell disruption techniques.

Figure 5 shows the composition and content of fatty acids in *Chlorella protothecoides* according to the extraction method and pretreatment. The type of the extraction method had a significant effect on palmitic acid, stearic acid, and oleic acid ($p < 0.05$), and significant differences were detected in fatty acid profiles depending on the different kinds of pretreatment ($p < 0.05$). The main fatty acids for the photoheterotrophic culture of *Chlorella protothecoides* were linoleic acid (C18:2), palmitic acid (C16:0), oleic acid (C18:1), linolenic acid (C18:3), and stearic acid (C18:0). These FAMES represent more than 70% of the total acids. Among them, linoleic acid dominated in almost all the samples.

In the chloroform: methanol extraction, the lyophilized and dried (90, 60, and 40°C) biomass had a higher content of oleic acid and a lower content of palmitic acid. In the wet biomass, the contents of oleic acid and palmitic acid were similar. In the hexane: methanol extraction, the proportions between C16:0, C18:0, and C18:1 were changed. The content of palmitic acid was higher in all the samples. The results obtained in the hexane:methanol extraction were characterized by a large standard deviation. This makes this method not reliable.

It is worth noting that in the microwave-assisted method, the content of saturated fatty acids (C16:0 and C18:0) increased while the content of unsaturated fatty acids such as C18:1, C18:2, and C18:3 decreased. Especially, it is evident in the chloroform: methanol extraction. A similar result was obtained by Cheng *et al.* (2013). They argue that the electromagnetic effect and heat rupture unstable bonds in a carbon chain and change the structure. Microwave as a cell disruption technique improves extraction of crude oil, regardless of the influence on the fatty acid profile. Similar results were obtained in the sonication-assisted method of extraction. The content of saturated fatty acids increased while the yield of unsaturated fatty acids (C18:1 and C18:3) decreased. Based on previous considerations, the decline may result from breakdown of unstable bonds. Breaking of unstable bonds occurs as a result of the energy produced during sonication.

There is a clear trend that the highest C18:2 content was observed for the microalgal photoheterotrophic culture of *Chlorella protothecoides* in this investigation. The profile of fatty acids of a microalgal species is known to be a function of cultivating and environmental conditions (Halim *et al.*, 2011). Our research focused on a high biomass yield without unprofitable, stressful conditions. Yeh and Chang (2012) reported that photoheterotrophic growth of *Chlorella vulgaris* under favourable conditions results in high biomass production and a fatty acid composition rich in linoleic acid.

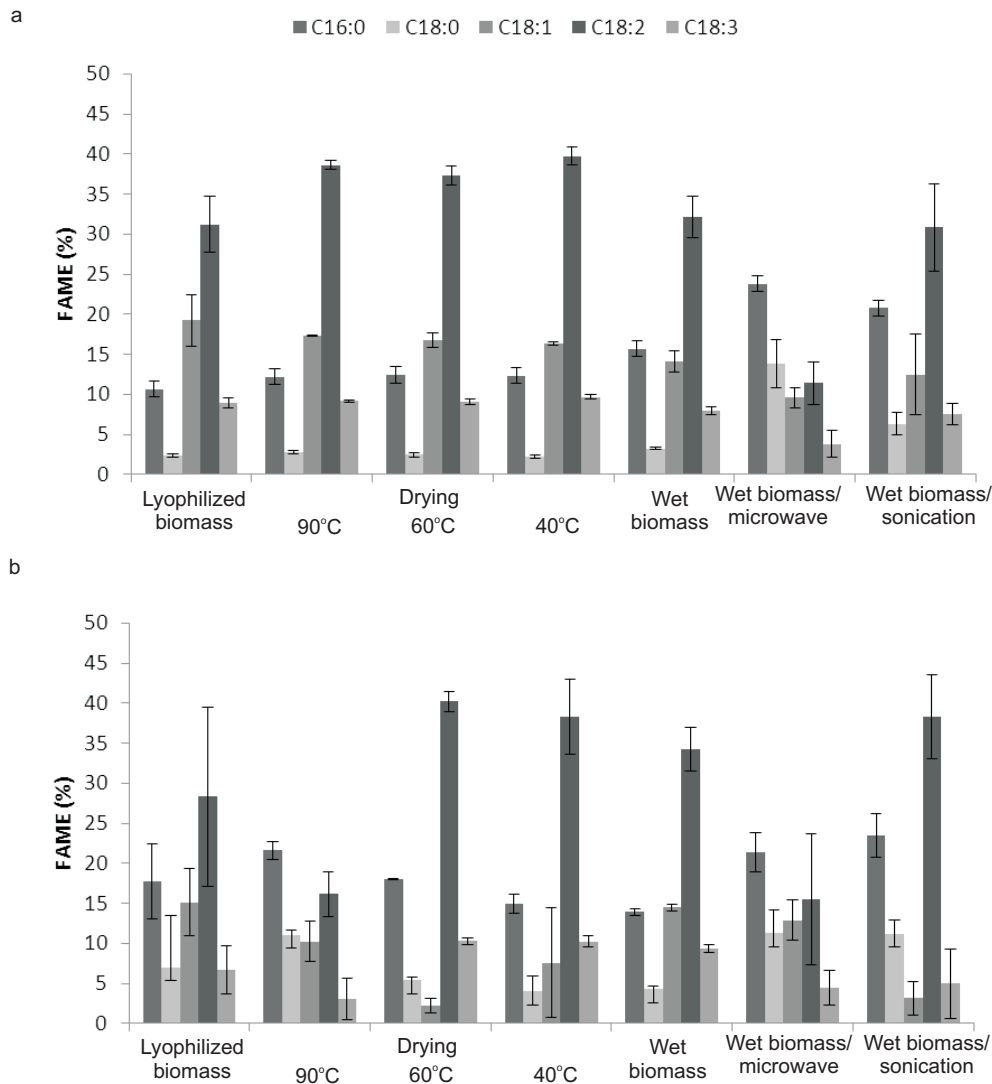


Fig. 5. Fatty acid methyl esters content and composition: a – chloroform : methanol extraction, b – hexane: methanol extraction.

CONCLUSIONS

1. The most appropriate solvents for lipid extraction from *Chlorella protothecoides* biomass was the chloroform: methanol mixture in the modified Bligh and Dyer method.
2. Wet biomass of *Chlorella protothecoides* was shown to produce the highest lipids yield (9.34%) in the modified Bligh and Dyer extraction method.
3. Cell disruption methods improved the efficiency of lipid extraction. The ultrasonic pretreatment caused the highest increase in crude oil (42%).
4. The ultrasonic and microwave pretreatment caused an increase in the palmitic acid content in the fatty acids profile. Both methods induced changes in the ratio of unsaturated to saturated fatty acids.
5. Lipid extraction from wet microalgal biomass after sonication is an alternative to the commonly used methods of lipid recovery.

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